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## NOTICE OF ALLOWANCE AND FEE(S) DUE

24852

7590

10/02/2008

INTERNATIONAL BUSINESS MACHINES CORP  
IP LAW  
555 BAILEY AVENUE , J46/G4  
SAN JOSE, CA 95141

EXAMINER

CHUONG, TRUC T

ART UNIT

PAPER NUMBER

2179

DATE MAILED: 10/02/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/728,073	12/04/2000	Erik Hennum	07042-152001	3770

TITLE OF INVENTION: WEB-BASED INSTRUCTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/02/2009

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

**THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to: Mail**

**Mail Stop ISSUE FEE  
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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

24852 7590 10/02/2008

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## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

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nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/02/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHUONG, TRUC T	2179	715-714000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/147; Rev 03-02 or more recent) attached. Use of a **Customer Number is required.**

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

1

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. **Change in Entity Status** (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_ Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 830 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 830 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

**Notice of Allowability****Application No.**

09/728,073

**Applicant(s)**

HENNUM, ERIK

**Examiner**

TRUC T. CHUONG

**Art Unit**

2179

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERIT IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 02/22/08.
2. ☒ The allowed claim(s) is/are 1,3-11 and 13-28.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some\* c) ☐ None of the:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.  
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  
1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.  
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.  
**Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO/SB/08),  
Paper No./Mail Date 11/07/05
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_.  
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_.

### EXAMINER'S AMENDMENT

I. Applicants' Attorney, Mr. Michael J. Buchenhorner and Examiner discussed and agreed to amend and cancel to the current claims in the phone interview on Friday, August 15, 2008, and the Applicants give the Examiner permission to correct the issue on Examiner's Amendment. The Examiner's Amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

#### II. Claims should be amended and canceled as follows:

1. (Currently amended) A method, performed in a web-based environment on a computer system, of helping a user learn to ~~implement~~ develop an application, the method comprising:

providing dynamic, interactive examples demonstrating how to accomplish a task in the application;

presenting an annotation page that includes one or more annotations descriptive of a various aspect of the examples as they are being executed, each annotation including keyword links, annotation links, and detail of implementation of the application and explanation of code used in the application;

providing a link to a resource in an annotation from among the one or more annotations;

if the user selects a keyword link, presenting reference documentation associated with that keyword; ~~and~~

if the user selects an annotation link, presenting another annotation descriptive of another source file of the application;

executing one or more of the predetermined plurality of applications by downloading a hyper-text markup language page containing a Java applet; and

presenting one or more annotations descriptive of the performed application in coordination with execution of the one or more of the predetermined plurality of applications.

2. (Canceled)
3. (Currently amended) The method of claim 1 in which performing the application comprises receiving input from the user.
4. (Previously presented) The method of claim 3 further comprising presenting another annotation page in coordination with execution of the application based on input from the user.
5. (Previously presented) The method of claim 4 in which presenting another annotation page comprises:

automatically and simultaneously calling an annotation request module including the application, file, class and function names of a program unit for which detail should be displayed;

mapping the request to an annotation; and

informing a browser window in the web-based environment to display the other annotation page.

6. (Previously presented) The method of claim 3 in which another annotation page is presented in coordination with execution of the application.

7. (Previously presented) The method of claim 6 further comprising automatically generating a global table of contents comprising links to annotations by parsing structured links in web pages including annotation pages.
8. (Previously presented) The method of claim 7 in which the links in the global table of contents are synchronized with presented annotations by highlighting links corresponding to a current annotation page.
9. (Previously presented) The method of claim 8 in which the global table of contents is presented in a first frame of a first browser window, the annotation page is presented in a second frame of the first browser window, and the predetermined application is performed in a second browser window.
10. (Currently amended) The method of claim 1 2 in which performing the predetermined application comprises launching a Java applet or application.
11. (Previously presented) The method of claim 10 in which launching the Java applet or application comprises calling a Java application programming interface to ask a web browser to show the annotation page.
12. (Canceled)
13. (Currently amended) The method of claim 1 2 in which performing the predetermined application comprises sending a common gateway interface request to a web server that launches the application in a window in the web-based environment.
14. (Previously presented) The method of claim 13 in which the application returns a hyper-text markup language page that includes JavaScript to ask a web browser to display the one or more annotations.

15. (Currently amended) The method of claim 1 2 in which the annotation page is presented in a first browser window and the application is performed in a second browser window.
16. (Previously presented) The method of claim 1 in which application implementation detail includes text descriptive of the application, fragments of source code from the application, or both.
17. (Previously presented) The method of claim 16 in which source code fragments are imported directly from the source code file of the presented application.
18. (Previously presented) The method of claim 1 further comprising automatically generating the annotation page descriptive of the source code file of a application.
19. (Previously presented) The method of claim 18 in which generating the annotation page comprises:
  - receiving a source code file that has embedded text marked up with instructions;
  - parsing the source code to determine a structure of the application; and
  - generating one or more annotations based on the application structure and instructions.
20. (Previously presented) The method of claim 19 in which generating the annotation page comprises:
  - generating one or more annotation links for navigating the annotations of the application;
  - generating application implementation detail based on the embedded information; and
  - generating one or more keyword links for reference documentation.
21. (Previously presented) The method of claim 20 in which generating the annotation page comprises highlighting the keyword links and the annotation links in the annotation page.

22. (Previously presented) The method of claim 19 further comprising automatically updating the annotation page descriptive of the source code file of the application when an updated source code file is received.
23. (Previously presented) The method of claim 1 further comprising automatically generating a global table of contents by parsing the plurality of annotations for annotation links.
24. (Previously presented) The method of claim 23 further comprising providing the global table of contents, in which the global table of contents comprises links to annotations.
25. (Previously presented) The method of claim 23 further comprising generating a local table of contents, in which the local table of contents comprises links to web pages including annotation pages relating to an application.
26. (Previously presented) The method of claim 25 further comprising providing the local table of contents when a local link in the global table of contents is selected.
27. (Previously presented) The method of claim 1 in which the presented annotation page is descriptive of the performed application and the annotation page is presented in coordination with performance of the predetermined application.
28. (Previously presented) The method of claim 1 further comprising:  
generating a source code file stripped of annotation mark up, the generated source code file including source code of the application but not including text from the annotations;  
presenting the stripped source code file; and  
permitting the user to edit the stripped source code file.
- 29-45. (Canceled)

***Terminal Disclaimer***

1. The terminal disclaimers filed on 12/27/04 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of the U.S. Patent No. 6,724,401 and 6,259,445 have been reviewed and approved. The terminal disclaimer has been recorded on 08/27/08.

***Allowable Subject Matter***

2. Claims 1, 3-11, and 13-28 are allowed.
3. The following is an examiner's statement of reasons for allowance in combination with other claim limitations:

Independent claim 1, when considered as a whole, is allowable over the Prior Art of record. Specifically, the Prior Art of record fails to teach or suggest the method of performing in the web-based environment on the computer system, of helping the user learn to develop the application, wherein providing dynamic, interactive examples demonstrating how to accomplish the task in the application, presenting the annotation page that includes one or more annotations descriptive of various aspect of the examples as they are being executed, each annotation including keyword links, annotation links, and detail of implementation of the application and explanation of code used in the application, providing the link to the resource in the annotation from among the one or more annotations, if the user selects the keyword link, presenting reference documentation associated with that keyword, if the user selects the annotation link, presenting another annotation descriptive of another source file of the application,

wherein executing one or more of the predetermined plurality of applications by downloading the hyper-text markup language page containing the Java applet, and presenting one or more annotations descriptive of the performed application in coordination with execution of the one or more of the predetermined plurality of applications.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### ***Conclusion***

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to TRUC T. CHUONG whose telephone number is (571)272-4134. The examiner can normally be reached on M-Th and alternate Fridays 8:30 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Weilun Lo can be reached on (571) 272-4847. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Truc T. Chuong

/Weilun Lo/  
Supervisory Patent Examiner, Art Unit 2179